

IDS 4/19/04

PTO-SB-08A (08-00)

Approved for use through 10-31-2002. OMB 0651-0031.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A-PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 4

Application Number	10/629,211
Filing Date	July 28, 2003
First Named Inventor	Noymi YAM
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	AZ0020USANP

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
J	AA	2002/0051807	A1	FAOUR et al.	05-02-2002	
J	AB	2002/0035357	A1	FAOUR et al.	03-21-2002	
	AC	2001/0012847	A1	LAM et al.	08-09-2001	
	AD	6,368,632	B1	MESENS et al.	04-09-2002	
	AE	6,110,923		ELY	08-29-2000	
	AF	5,965,168		MESENS et al.	10-12-1999	
	AG	5,871,778		KINO et al.	02-16-1999	
	AH	5,770,843	A, X6	ROSE et al.	06-23-1998	
	AI	5,770,231		MESENS et al.	06-23-1998	
	AJ	5,692,477		BERGER et al.	12-02-1997	
	AK	5,656,299		KINO et al.	08-12-1997	
	AL	5,654,008		HERBERT et al.	08-05-1997	
	AM	5,650,173		RAMSTOCK et al.	07-22-1997	
	AN	5,633,011		DONG et al.	05-27-1997	
	AO	5,620,705		DONG et al.	04-15-1997	
	AP	5,536,507		ABRAMOWITZ et al.	07-16-1996	
	AQ	5,252,338		JAO et al.	10-12-1993	
	AR	5,190,765		JAO et al.	03-02-1993	
	AS	5,169,638		MATSUI et al.	12-08-1992	
	AT	5,160,743		EDGREN et al.	11-03-1992	
	AU	5,156,850		WONG et al.	10-20-1992	
	AV	5,126,142		AYER et al.	06-30-1992	
	AW	5,024,842		EDGREN et al.	06-18-1991	
	AX	5,019,397		WONG et al.	05-28-1991	
J	AY	5,006,346		EDGREN et al.	04-09-1991	

Examiner Signature		Date Considered	9/04
-----------------------	--	--------------------	------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A-PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

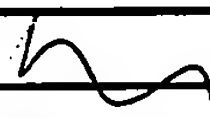
(use as many sheets as necessary)

Sheet 2 of 4

Application Number	10/629,211
Filing Date	July 28, 2003
First Named Inventor	Noymi YAM
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	AZ0020USANP

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
J	AZ	4,940,465		THEEUWES et al.	07-10-1990	
	BA	4,931,285		EDGREN et al.	06-05-1990	
	BB	4,915,949		WONG et al.	04-10-1990	
	BC	4,892,778		THEEUWES et al.	01-09-1990	
	BD	4,804,663	A, X6	KENNIS et al.	02-14-1989	
	BE	4,681,583		URQUHART et al.	07-21-1987	
	BF	4,578,075		URQUHART et al.	03-07-1978	
	BG	4,519,801		EDGREN	05-28-1985	
	BH	4,458,076	A, X6	STRUPCZEWSKI et al.	07-03-1984	
	BI	4,352,811	A, X6	STRUPCZEWSKI et al.	10-05-1982	
	BJ	4,327,725		CORTESE et al.	05-04-1982	
	BK	4,207,893		MICHAELS	06-17-1980	
	BL	4,160,020		AYER et al.	07-03-1979	
	BM	4,111,202		THEEUWES	09-05-1978	
	BN	4,088,864		THEEUWES	05-09-1978	
	BO	4,077,407		THEEUWES et al.	03-07-1978	
	BP	4,008,719		THEEUWES et al.	02-22-1977	
	BQ	4,002,173		MANNING et al.	01-11-1977	
	BR	3,995,631		HIGUCHI	12-07-1976	
	BS	3,916,899		THEEUWES et al.	11-04-1975	
	BT	3,865,108		HARTOP	02-11-1975	
	BU	3,845,770		THEEUWES et al.	11-05-1974	

Examiner Signature		Date Considered	9/10/06
-----------------------	---	--------------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A-PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 3 of 4

Application Number	10/629,211
Filing Date	July 28, 2003
First Named Inventor	Noymi YAM
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	AZ0020USANP

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
J	BV	3,598,123		ZAFFORONI et al.	08-10-1971	
	BW	3,598,122		ZAFFORONI et al.	08-10-1971	
	BX	3,546,142		MICHAELS et al.	12-08-1970	
	BY	3,541,006		BIXLER et al.	11-17-1970	
	BZ	3,541,005		STRATHMANN et al.	11-17-1970	
	CA	3,276,586		ROSEAN	10-04-1966	
	CB	3,173,876		JACKSON	03-16-1965	
	CC	3,133,132		LOEB et al.	05-12-1964	
	CD	2,799,241		WURSTER	07-16-1957	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵				
J	CE	WO	01/52819	A1	LABORATORIOS PHOENIX U.S.A., Inc.	07-26-2001		
	CF	WO	01/37813	A2, 3	LTS LOHMANN THERAPIE-SYSTEME AG	05-31-2001		
	CG	WO	01/34120	A1	ALKERMES CONTROLLED THERAPEUTICS Inc.	05-17-2001		
	CH	WO	00/35419	A2	ALZA Corporation	06-22-2000		
	CI	WO	99/62496	A1	ALZA Corporation	12-09-1999		
	CJ	WO	98/23263	A1	ALZA Corporation	06-04-1998		
	CK	WO	98/14168	A2,A3	ALZA Corporation	04-09-1998		
	CL	WO	98/06380	A2,A3	ALZA Corporation	02-19-1998		
	CM	WO	97/44039	A1	JANSSEN PHARMACEUTICA N.V.	11-27-1997		
	CN	WO	98/31201	A1	JANSSEN PHARMACEUTICA N.V.	02-19-1998		

Examiner
SignatureDate
Considered

9/06

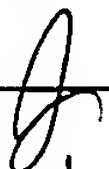

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.


¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

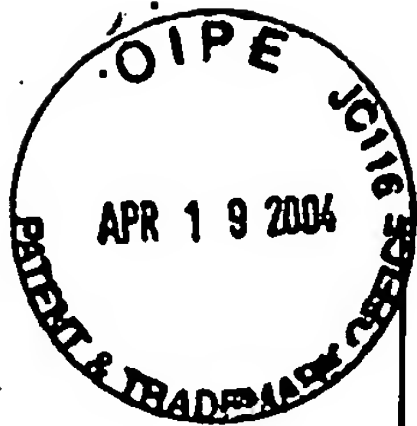
Substitute for form 1449A-PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 4 of 4	Application Number	10/629,211
	Filing Date	July 28, 2003
	First Named Inventor	Noymi YAM
	Group Art Unit	Not yet assigned
	Examiner Name	Not yet assigned
	Attorney Docket Number	AZ0020USANP

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner's Initials*	Cite No. ¹	Include name of the author (in CAPITOL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and-or country where published	T ²
	CO	<u>Encyclopedia of Polymer Science and Technology</u> , 3:325-354, Interscience Publishers, NY, 1964. "Cellulose Esters, Organic"	
	CP	HIXON, Larry et al., <u>Chemical Engineering</u> , 94-103, November 1990. "Sizing Materials by Crushing and Grinding"	
	CQ	LEA & FEBINGER, <u>Biopharmaceutics and Pharmacokinetics</u> , 3 rd Ed., Ch1., pp. 1-28, 1984. "Introduction to Pharmacokinetics"	
	CR	LONGER, Mark A. et al., <u>Remington's Pharamaceutical Sciences</u> , 18 th Ed, Ch 91, pp.1676-1693, 1990. "Sustained-Release Drug Delivery Systems: Oral Dosage Forms"	
	CS	PARROTT, Eugene L., <u>Journal of Pharmaceutical Sciences</u> , 61(6):813-829, 1974. "Milling of Pharmaceutical Solids"	
	CT	<u>Perry's Chemical Engineers Handbook</u> , Perry, Green & Maloney Editors, et al, 6 th Ed., "Introduction to Screening and Wet Classification", pp. 21.13 to 21.19, 1984..	
	CU	<u>Physician's Desk Reference</u> , Thompson Healthcare, 56 th Ed., pp. 1796-1800, 2002. "Risperdal ®"	
	CV	<u>Physician's Desk Reference</u> , Thompson Healthcare, 56 th Ed., pp. 1998-2001, 2002. "Concerta ®"	
	CW	RIPPLE, Edward G., <u>Remington's Pharamaceutical Sciences</u> , 17 th Ed, Ch. 89, pp. 1585-1594, 1985. "Powders"	
	CX	SANTUS, Giancarlo & Richard W. Baker, <u>Journal of Controlled Release</u> , 35:1-21, 1995. "Osmotic drug delivery: a review of the patent literature"	
	CY	SCOTT & ROFF, <u>Handbook of Common Polymers</u> , CRC Press., Cleveland Ohio, 1971.	
	CZ	WURSTER, Dale E., <u>J. Am. Pharm. Assoc</u> , 48(8):451-454, August 1959. "Air-Suspension Technique of Coating Drug Particles"	
	DA	WURSTER, Dale E., <u>J. Am. Pharm. Assoc</u> , 49(2):82-84, February 1960. "Preparation of Compressed Tablet Granulations by the Air-Suspension Technique II"	

Examiner Signature		Date Considered	9/26
--------------------	---	-----------------	------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.



SUBMISSION UNDER MPEP 609 D

Page 1 of 1

Application Number	10/629,211
Filing Date	July 28, 2003
First Named Inventor	Noymi YAM
Group Art Unit	To be assigned
Examiner Name	To be assigned
Attorney Docket Number	AZ0020USANP

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Name of Patentee or Applicant of Cited Document	U.S. Patent Document		Pages, Columns, Lines, where relevant passages or relevant figures appear
			Number	Kind Code ² (if known)	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Name of Patentee or Applicant of Cited Document	Foreign Patent Document			Pages, Columns, Lines, where relevant passages or relevant figures appear	T ⁴
			Office ³	Number ⁴	Kind Code ⁵		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner's Initials ²	Cite No. ¹	Include name of the author (in CAPITOL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
J		International Search Report dated December 17, 2003, for corresponding Appln No. PCT/US03/23433.	

Examiner Signature		Date Considered	9/06
-----------------------	--	--------------------	------